



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

**John L. Beezer et al.**

Serial No.: 09/455,806

Filed: December 7, 1999

For: Method and Apparatus for Capturing and  
Rendering Annotations for Non-Modifiable  
Electronic Content

Atty. Docket No.: 003797.84617

Group Art Unit: 2171

Examiner: Thai, Hanh B.

Confirmation No.: 5592

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(i)**

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to their duty of good faith and candor as set forth in 37 C.F.R. § 1.56(a), Applicants submit herewith the references identified on the attached PTO/SB/08a form.

Applicants respectfully request that the Examiner place these references in the file in accordance with 37 C.F.R. § 1.97(i). In the event it is appropriate to consider these references, the Examiner is requested to do so and to return an initialed copy of the PTO/SB/08a form to the undersigned.

Applicants do not waive any right to take appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application.

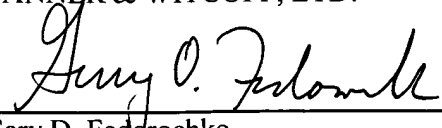
Should a fee be deemed necessary, the Patent Office is authorized to charge our Deposit Account No. 19-0733.

Respectfully submitted,

BANNER & WITCOFF, LTD.

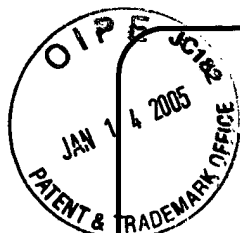
Dated: January 14, 2005

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PTO/SB/08a (08-03)



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number		09/455,806
Filing Date		12/07/1999
First Named Inventor		John L. Beezer et al.
Art Unit		2171
Examiner Name		Thai, Hanh B.
Total Number of Pages in This Submission	Attorney Docket Number 003797.84617	

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement Under 37 CFR 1.97(i) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO/SB/08a; copy of one non U.S. document
<div>Remarks</div>		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm	Banner & Witcoff, LTD.		
Signature			
Printed Name	Gary D. Fedorochko		
Date	January 14, 2005	Reg. No.	35,509

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature			Date	
Typed or printed name			Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.